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PATENT, TRADEMARK, COPYRIGHT  
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AND RELATED LITIGATION

EDMUND P. WOOD 1923-1968  
TRUMAN A. HERRON 1935-1976  
EDWARD B. EVANS 1936-1971

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RE: Request for Corrected  
Official Filing Receipt  
S/N: Patent Application Ser. No.  
10/617,593

FROM: Kurt A. Summe, Esquire

Our Ref.: VOCO-09

Pages (including cover page): 11

Fax No.: 1 703 746 9195

Date: June 28, 2004

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Encl.

KAS:cmp

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I hereby certify that this correspondence is being facsimile transmitted to: Commissioner for Patents,  
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Kurt A. Summe

6/28/04  
Date

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Serial No.: 10/617,593  
Filed: July 11, 2003  
Applicant: Arthur Eugene McNair et al.  
Confirmation No.: 3686  
Title: **METHOD AND SYSTEM FOR INTELLIGENT PROMPT  
CONTROL IN A MULTI-MODAL SOFTWARE APPLICATION**  
Atty Docket No.: VOCO-09

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Cincinnati, Ohio

June 28, 2004

**REQUEST FOR CORRECTED FILING RECEIPT**

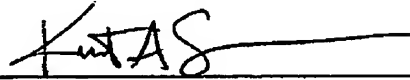
It is noted that the Filing Receipt in connection with the above-identified application lists the filing date as "07/10/03" (copy attached). The early notification postcard from the United States Patent and Trademark Office likewise indicates "07/10/03" on the Serial Number sticker affixed by the United States Patent and Trademark Office (copy attached).

In actuality, this application was filed on July 11, 2003, as per our transmittal, early notification postcard, and express mail receipt from the U.S. Postal Service. Copies of these records are attached for your review.

It is respectfully requested, in view of the above facts, that a new corrected filing receipt be issued to correctly list the filing date of this Application as July 11, 2003.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

By   
Kurt A. Summe  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/617,593	07/10/2003	2644	420	VOCO/09/273	4	25	3

CONFIRMATION NO. 3686

26875  
 WOOD, HERRON & EVANS, LLP  
 2700 CAREW TOWER  
 441 VINE STREET  
 CINCINNATI, OH 45202

## FILING RECEIPT



\*OC000000011192893\*

Date Mailed: 11/06/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Arthur Eugene McNair, Pittsburgh, PA;  
 Larry R. Sweeney, Bethel Park, PA;  
 Timothy Joseph Eusterman, The Woodlands, TX;

## Assignment For Published Patent Application

Voccollect, Inc.;

## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted: 11/04/2003

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

## Method and system for intelligent prompt control in a multimodal software application

**Preliminary Class**

704

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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15****GRANTED**

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Arthur Eugene McNair, Larry R. Sweeney,  
Timothy Joseph Eusterman

Atty. Docket: VOCO/09/273

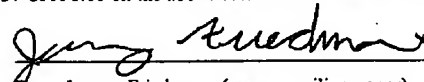
Title: METHOD AND SYSTEM FOR INTELLIGENT PROMPT CONTROL IN A MULTI-MODAL SOFTWARE APPLICATION

**CERTIFICATE OF MAILING BY EXPRESS MAIL - 37 CFR 1.10**

'Express Mail' mailing label number: EV355035395US

Date of Deposit: July 11, 2003

I certify that this paper or fee (along with the enclosures noted herein) is being deposited with the United States Postal Service 'Express Mail Post Office to Addressee' service under 37 CFR 1.10 on the above date and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
By: Jeremy Friedman (person mailing paper)

**UTILITY PATENT APPLICATION TRANSMITTAL**

*Mail Stop Patent Application  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450*

This is a request for filing, under 37 CFR § 1.53(b), a(n):

- ☒ **Original (non-provisional) application.**
- ☐ Divisional of prior application Serial No. \_\_, filed on \_\_.
- ☐ Continuation of prior application Serial No. \_\_, filed on \_\_.
- ☐ Continuation-in-part of prior application Serial No. \_\_, filed on \_\_.

**PRELIMINARY AMENDMENT/CALCULATION OF FEES**

- ☐ Please cancel claims \_\_ without prejudice, and prior to calculating the fees. \_\_ total claim(s), of which \_\_ is(are) independent, is(are) pending after the amendment.
- ☐ Please enter the enclosed preliminary amendment identified below prior to calculating the fees. \_\_ total claim(s), of which \_\_ is(are) independent, is(are) pending after the amendment.
- ☒ **Small Entity Status is Requested**

VOCO/09/273

Express Mail No. EV355035395US

Page 1 of 3

☒ **The Fees are Calculated as Follows:**

Fee:	Number of Claims:	In Excess of:	Extra:	At Rate:	Amount:
Total Claims	25	20	5	\$18	\$90.00
Independent Claims	3	3	0	\$84	\$0.00
MULTIPLE DEPENDENT CLAIM FEE					
BASIC FEE					\$750.00
TOTAL OF ABOVE CALCULATIONS					\$840.00
REDUCTION BY 50% FOR FILING BY SMALL ENTITY					\$420.00
<b>TOTAL</b>					<b>\$420.00</b>

## ENCLOSURES

- ☒ **Utility Patent Application Transmittal Form (in duplicate) containing Certificate of Mailing By Express Mail Under 37 CFR 1.10.**
- ☒ **Return Postcard.**

APPLICATION PAPERS

- ☒ **Utility Patent Application, with: cover sheet, 39 page(s) specification (including 25 total claim(s), of which 3 is(are) independent, and 1 page(s) abstract).**
- ☒ **Drawings: 4 sheet(s) of formal drawings ( 6 total figure(s)).**
- ☐ Microfiche Computer Program (Appendix).
- ☐ Nucleotide and/or Amino Acid Sequence, including (all are necessary): Computer Readable Copy, Paper Copy (identical to computer copy), and Statement verifying identity of copies.
- ☒ **An Unexecuted Declaration, Power of Attorney and Petition Form.**
- ☐ Copy of Executed Declaration, Power of Attorney and Petition Form from prior application identified above.
- ☐ Certified Copy of priority document(s) identified as attached above.

ADDITIONAL PAPERS

- ☐ Assignment to \_\_, Recordation Cover Sheet (Form PTO-1595)
- ☒ **Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i)**
- ☐ Preliminary Amendment (to be entered prior to calculation of fees)
- ☐ Information Disclosure Statement, \_\_ sheet(s) Form PTO-1449, \_\_ U.S. Patent Reference(s), \_\_ Foreign Patent Reference(s) and \_\_ Other Reference(s)
- ☐ Other: \_\_

CHECKS

- ☒ **A Check of \$420.00 for the filing fee.**
- ☐ A Check of \_\_ for the assignment recording fee.

VOCO/09/273  
Express Mail No. EV355035395US  
Page 2 of 3



Express Mail No.: EV355035395US

Atty. Docket: VOCO/09/273

Date: July 11, 2003

Applicant: Arthur Eugene McNair, Larry R. Sweeney and Timothy Joseph Eusterman

Title: METHOD AND SYSTEM FOR INTELLIGENT PROMPT CONTROL IN A MULTI-MODAL SOFTWARE APPLICATION

The stamp of the Patent Office hereon, may be taken as acknowledging the receipt, on the date stamped, of the following:

- ☒ Utility Patent Application Transmittal Form (in duplicate) containing Certificate of Mailing By Express Mail Under 37 CFR 1.10.
- ☒ Return Postcard.
- ☒ Utility Patent Application, with: cover sheet, 32 page(s); specification (including 25 total claim(s), of which 3 is(are) independent, and 1 page(s) abstract).
- ☒ Drawings: 4 sheet(s) of formal drawings (6 total figure(s)).
- ☒ An Unsigned Declaration, Power of Attorney and Petition Form.
- ☒ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i)
- ☒ A Check of \$420.00 for the filing fee.

16591 U.S. PTO  
10/617593  
07/10/03

Wood, Herron &amp; Evans, L.L.



EV 355035395 US



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